

Brochure Supplement

May 18, 2023

Daniel M. Abraham Jr.

2800 Veterans Memorial Blvd., Ste. 220
Metairie, LA 70002

(504) 321-0923

This Brochure Supplement provides information about Daniel M. Abraham Jr. that supplements the Disclosure Brochure of Second Line Capital, LLC (hereinafter "SLC"), a copy of which you should have received. Please contact SLC's Chief Compliance Officer if you did not receive the Disclosure Brochure or if you have any questions about the contents of this Brochure Supplement. Additional information about Daniel M. Abraham Jr. is available on the SEC's website at www.adviserinfo.sec.gov.

Second Line Capital, LLC, a Registered Investment Adviser

2800 Veterans Memorial Boulevard, Suite 220, Metairie, LA 70002 | (504) 321-0923

Item 2. Educational Background and Business Experience

Born 1980

Post-Secondary Education

Louisiana State University | Bachelor of Arts, Mass Communication (Advertising) | 2002

Recent Business Background

Second Line Capital, LLC | Investment Adviser Representative | May 2023 – Present

LPL Financial, LLC | Registered Representative | October 2020 – Present

Advisor Resource Council | Investment Adviser Representative | October 2020 – May 2023

VALIC Financial Advisors, Inc. | Financial Advisor | May 2010 – October 2020

Professional Designation

Daniel M. Abraham Jr. holds the professional designation CERTIFIED FINANCIAL PLANNER™ (“CFP®”).

The CFP® certification is a financial planning credential awarded by the Certified Financial Planner Board of Standards Inc. (the “CFP Board”) to individuals who meet its education, examination, experience and ethics requirements. Eligible candidates are generally required to have three years of financial planning related experience and possess a bachelor’s degree from an accredited U.S. college or university. Certificants are further required to complete a CFP Board-Registered Education Program (or possess a qualifying professional credential), clear a personal and professional background check, and pass the CFP® Certification Examination, a ten-hour multiple choice exam divided into three separate sessions. In order to maintain the certification, CFP® designees must also complete at least 30 hours of continuing education every two years on an ongoing basis.

For additional information about this credential, please refer directly to the website of the issuing organization.

Item 3. Disciplinary Information

SLC is required to disclose information regarding any legal or disciplinary events material to a client’s evaluation of Daniel M. Abraham Jr. . SLC has no information to disclose in relation to this Item.

Item 4. Other Business Activities

SLC is required to disclose information regarding any investment-related business or occupation in which Daniel M. Abraham Jr. is actively engaged.

Registered Representative of a Broker-Dealer

Daniel M. Abraham Jr. is a registered representative of LPL Financial (“LPL”), an SEC registered broker-dealer and member of FINRA. In this capacity, Daniel M. Abraham Jr. may provide securities brokerage services and implement securities transactions under a commission based arrangement. Daniel M. Abraham Jr. may be entitled to a portion of the brokerage commissions paid to LPL, as well as a share of any ongoing distribution or service (“trail”) fees from the sale of mutual funds.

A conflict of interest exists to the extent that Daniel M. Abraham Jr. recommends the purchase of securities where he receives commissions or other additional compensation as a result. This practice may give him an incentive to recommend investment products based on compensation received rather than on the client’s needs. SLC has procedures in place to ensure that all recommendations are made in the best interests of clients regardless of any additional compensation earned. For certain accounts covered by ERISA (and such others that the firm deems appropriate), SLC provides investment advisory services on a fee offset basis, whereby the firm reduces its fee by an amount equal to the aggregate commissions and 12b-1 fees earned by Daniel M. Abraham Jr. in his individual capacity as a registered representative of LPL.

Licensed Insurance Agent

Daniel M. Abraham Jr. is a licensed insurance agent and in such capacity may recommend, on a fully-disclosed commission basis, the purchase of certain insurance products. A conflict of interest exists to the extent that SLC recommends the purchase of insurance products where Daniel M. Abraham Jr. receives insurance commissions or other additional compensation. SLC seeks to ensure that all recommendations are made in the best interests of clients regardless of any additional compensation earned.

Item 5. Additional Compensation

SLC is required to disclose information regarding any arrangement under which Daniel M. Abraham Jr. receives an economic benefit from someone other than a client for providing investment advisory services. SLC has no information to disclose in relation to this Item.

Item 6. Supervision

Peter David Soliman, President, CCO, and Chairman, is generally responsible for supervising Daniel M. Abraham Jr.’s advisory activities on behalf of SLC. Peter David Soliman can be reached at the firm’s main telephone number listed on the cover page of this Brochure Supplement.

SLC supervises its personnel and the investments made in client accounts. SLC monitors the investments recommended by Daniel M. Abraham Jr. to ensure they are suitable for the particular client and consistent with their investment needs, goals, objectives and risk tolerance, as well as any restrictions previously requested by the client. SLC periodically reviews the advisory activities of Daniel M. Abraham Jr., which

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may include reviewing individual client accounts and correspondence (including e-mails) sent and received by Daniel M. Abraham Jr. .